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Form PTO-1449

Docket Number (Optional) Application Number

| INFORM              | MATION DISCLO           |  | 4502US  |                              | 09/841,451                |                            |
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|                     | (Use several sheets     | if necessary)                                  | Filing Date April 24  | , 2001                       | Group Art U               | Jnit <b>3736</b>           |
|                     |                         | U.S. F   | PATENT DOCUMENTS  |                              |                           |                            |
| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER      | DATE   | NAME  | CLASS                        | SUBCLASS                  | FILING DATE                |
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| <u>~</u>            | 3,590,473               | 07/1971  | Carlson   | _                            |                           | 8                          |
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| M                   | 699939                  | 11/1953  | U.K.  |                              |                           |                            |
|                     | 2032118                 | 04/1980  | U.K.  |                              |                           |                            |
|                     | 2052074                 | 01/1981  | U.K.  |                              | <del>-</del>              |                            |
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| ~                   | 2 132 348               | 07/1984  | Great Britain   |                              |                           |                            |
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| <u> </u>            | Quenchin                | g of a Polymer-Im                              | "Determination of Oxygen Commobilized Transition-Metal Condecember 1, 1987. | ncentrations<br>mplex;" pgs. | by Lumineso<br>2780-2785, | cence<br><u>Analytical</u> |
|                     | "Basics of              | f Auto Referencing                             | ," Sen. Sym., pp. 7-9 through   | 7-35, undate                 | ed.                       |                            |
| 1                   | Blazewicz<br>Capability | z, Perry, et al., "A N<br>r," Vol. 15, Nos. 3- | Novel Fast Oxygen Sensor witl<br>4, pp. 236-237, May 1999.                  | h On-Airway,                 | Breath-to-B               | reath                      |
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Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

|                                 | Sheet 2 of 12                 |
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| Docket Number (Optional) 4502US | Application Number 09/841,451 |
| Applicant Mace et al.           |                               |

|                     | (Us   | se several sheets i | f necessary)     |                       | Filing Date April 24,                    | 2001           | Group Art Unit 3736 |                |               |
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| m                   |       | 3,612,866           | 10/1971          |                       | Stevens                                  | \              |                     |                |               |
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| \                   |       | 3,889,536           | 06/1975          |                       | Sylvester                                |                |                     | 5              |               |
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| 2                   |       | 1509744             | 09/1989          |                       | Russia                                   | <del></del>    |                     |                |               |
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|                     | wo    | 00/07498            | 02/2000          |                       | PCT                                      |                |                     |                |               |
| 4                   | wo    | 00/13003            | 03/2000          |                       | PCT                                      |                |                     | X              |               |
|                     |       |                     |                  |                       |  |                |                     |                |               |
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| 3                   |       |                     |                  |                       | ns for Measurement<br>oment," (undated). | and Dosage     | of Volatile A       | nesthetic      | cs an         |
|                     |       | "Capnosta           | t II CO2 Sensor, | " CO <sup>2</sup> SMO | <u>User's Manual,</u> pag                | ge 16, April 2 | 7, 1993.            |                |               |
| 1                   |       | Datex Broo          | chure, "See Com  | npliance at a         | a Glance," undated.                      |                |                     |                |               |
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Applicant Mace et al.

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Filing Date April 24, 2001

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Docket Number (Optional) 4502US

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Applicant Mace et al.

Filing Date April 24, 2001

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Form PTO-1449

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IN AN APPLICĂTION (Use several sheets if necessary)

| Form PTO-14<br>INFORM   | 449<br>IATION DISCLO<br>IN AN APPLI           | / .   | 4502US                      | Docket Number (Optional) 4502US  Applicant Mace et al.             |                             |                            |                               |            |
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| RY  | 4,542,987                                     | 09/1985   |                             | Hirschfeld   | (T)                         |                            |                               |            |
|   | 4,546,655                                     | 10/1985   |                             | Victor   |                             |                            |                               |            |
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| V   | 4,608,344                                     | 08/1986   | (                           | Carter et al.  |                             |                            | <b></b>                       |            |
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|   | 4,682,895                                     | 07/1987   |                             | Costello   |                             |                            |                               |            |
| المر  | 4,730,112                                     | 03/1988   |                             | Wong   |                             | /                          | CEN                           |            |
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|   | quenching                                     | g and employing                                     | a polymer in                | oxygen sensor based<br>nmobilised metallop<br>Medical & Biological | orphyrin prol               | oe, Part 2 S               | ensor                         |            |
|   | Kolle, C.,<br>Chemical                        | O'Leary, P.; "Op<br>and Optical Sen                 | tical Oxyger<br>sors; Repor | n Sensor for Breath-<br>t No: COS 95.001, F                        | Gas Analysis<br>ebruary 199 | ;" <u>Report Ins</u><br>5. | stitute for                   |            |
| ~   | Lutter, N.<br>Monitoring                      | , et al., "Calibration<br>g," <i>Anesthesiolo</i> g | on of an Infray, Vol. 89, I | ared Multicomponer<br>No. 3A, p. A945, Sep                         | nt Analyzer fo<br>ot. 1998. | r Mainstrea                | n Gas                         |            |
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### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Applicant Mace et al.

Filing Date April 24, 2001

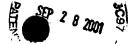
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Docket Number (Optional) Application Number

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

#### Applicant Mace et al. (Use several sheets if necessary) Filing Date April 24, 2001 Group Art Unit 3736 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT FILING DATE DATE NAME CLASS SUBCLASS NUMBER INITIAL IF APPROPRIATE 4.892,941 01/1990 Dolphin et al. 4,895,156 01/1990 Schulze 4,914,720 04/1990 Knodle et al. 4,919,891 04/1990 Yafuso et al. 4,920,808 05/1990 Sommer OLOGY 4,954,318 09/1990 Yafuso et al. 4,957,007 09/1990 Gray 4,958,075 09/1990 Mace et al. 4,968,632 11/1990 Brauer et al. 4,973,718 11/1990 Buchler et al. Ma. 4,989,456 02/1991 Stupecky FOREIGN PATENT DOCUMENTS Translation DOCUMENT DATE COUNTRY CLASS SUBCLASS NUMBER YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Moreno-Bondi, Maria C., et al., "New Luminescent Metal Complex for pH Transduction in Optical Fiber Sensing: Application to a CO2-Sensitive Device." Proc. SPIE. Vol. 1368, pp. 157-164, March 1991 Neurauter, G., et al., "Fiber-Optic Microsensor for High Resolution pCO2 Sensing in Marine Environment," Fresenius J Anal Chem, 366(5), pp. 481-7, March 2000. Orr, et al., "A Respiratory Flowmeter Based on a Modified Mainstream CO2 Cuvette," Abstract Presented at the Annual Meeting of the Society for Technology in Anesthesia, 3 pages (unnumbered, Feb. 17-19, New Orleans, LA

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|                     | 5,081,041                      | 01/1992   | Yafuso et al.   |                       |                     | R R                |          |
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## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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| Docket Number | (Optional) |
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| 4502US        |            |

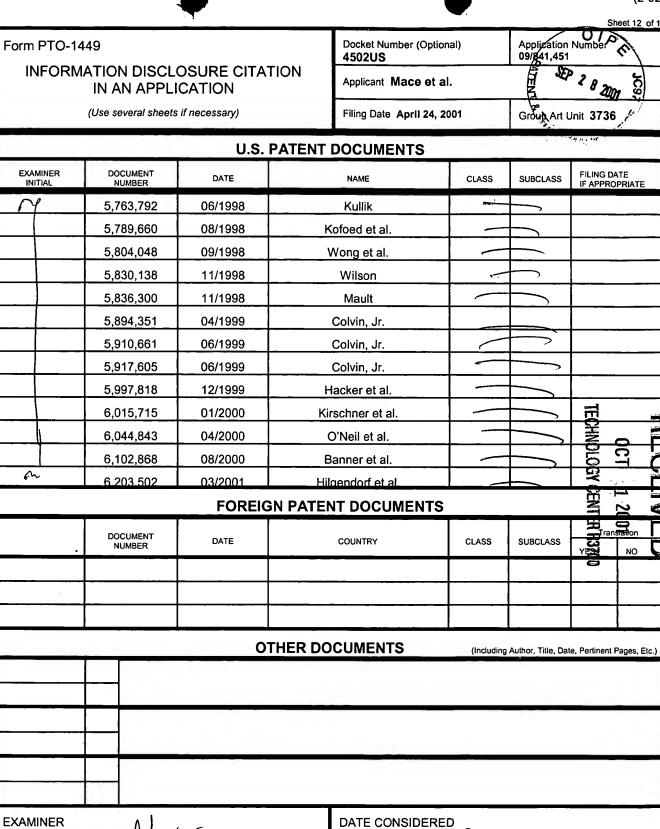
Applicant Mace et al.

Filing Date April 24, 2001

Group Art Unit 3736

Application Number 09/841/351

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Sheet 1 of 2 Attorney Docket Number

|                        |             | Document Number                            |                             | Name of Patentee or Applicant of |   |
|------------------------|-------------|--|-----------------------------|----------------------------------|---|
| Examiner<br>Initials * | Cite<br>No. | Number - Kind Code <sup>2</sup> (if known) | Publication Date MM-DD-YYYY | Cited Document                   | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
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Attorney Docket Number 2181-4502US (96-22 C2)

|                     | ,            | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS   |  |  |  |  |
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| Examiner Initials * | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |
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Sheet 1 of 1

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| Application Number     | 09/841,451             |  |  |
| Filing Date            | April 24, 2001         |  |  |
| First Named Inventor   | Mace et al.            |  |  |
| Group Art Unit         | 3736                   |  |  |
| Examiner Name          | Unknown                |  |  |
| Attorney Docket Number | 2181-4502US (96-22 C2) |  |  |

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